



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas VETTER

Serial No.: 10/517,571

Examiner:

Filed: December 9, 2004

Group Art Unit:

Title: DEVICE FOR MACHINING THE SURFACE OF PARTS

Attorney Docket No.: D4695-00126 (3241-104)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<p><b>CERTIFICATE OF MAILING,</b> <b>37 C.F.R. §1.8(a)</b></p> <p>I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.</p> <p><i>Stephen P. Gribok</i> Stephen P. Gribok, Reg. No. 28,643 <b>3-28-2006</b></p> <p>_____ Date</p>
--

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed herewith is a Information Disclosure Statement pursuant to 37 C.F.R. §1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 C.F.R. § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 C.F.R. §1.97(c)]. *(Either the fee or certification is provided, as set forth below).*
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 C.F.R. §1.97(d)]. *The fee and certification are provided, as set forth below).*

Consistent with Applicant's obligations pursuant to 37 C.F.R. §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

**Fee Under 37 C.F.R. § 1.97(c) or 1.97(d)**

☐ The fee of \$     .00     for submission of an IDS under §1.97(c) as set forth in §1.17(p) accompanies this statement.

**Certification Under 37 C.F.R. § 1.97(e)**

The undersigned certifies:

☐ that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

**Provision of Copies of References**

☒ A copy of each of the references listed on the attached Form PTO/SB/08A is enclosed herewith and forms a part hereof.

☒ Pursuant to the order of the Deputy Commissioner dated July 11, 2003, copies of the U.S. references are not enclosed.

☒ A copy of the International Search Report from corresponding or related PCT application PCT/EP2003/005958 (WO 2003/106177) is enclosed.

**Concise Explanation of Relevance for Non-English Language Information**

Each of the Non-English Language references was mentioned in the International Search Report for corresponding application PCT/EP03/05958, a copy of which is submitted herewith. English language abstracts are appended to each of the Non-English references that do not have substantial English Language equivalents, as follows.

Swiss Patent document No. 501,355-A was cited for Column 4, line 43 and column 5, line 30, respecting claims 1 and 10 of the PCT application. The document was considered an "A" category reference (defining the general state of the art and not considered to be of particular relevance). Swiss Patent 501,355 claims the priority of US SN 04/730,825, issued as U.S. Patent No. 3,600,655 – Karlin, also cited herein.

German Patent document No. 3729911-A was cited as a "Y" category reference (of particular relevance respecting inventive step when combined with one or more other documents) as to claims 1, 2, 4, 10 – 15, and 22 – 28 of Applicant's PCT application. An Abstract in English is attached to the document.

Japanese Patent Abstract document No. 08-207265-A was cited as a "Y" category reference as to claims 1 and 3 of Applicant's PCT application. An Abstract in English is attached to the document.

German Patent document No. 19617355-A was cited as a "Y" category reference, particularly Figure 1, column 2, line 42, and column 3, line 48, which are mentioned with respect to claims 1, 2, 4, 10, 11, and 14 – 20 of Applicant's PCT application. An English Abstract is attached to the document.

German Patent document No. 19807924-A was cited as an "A" category reference respecting claim 1 of Applicant's PCT application. The German document claims priority of US SN 60/040,887, leading to US SN09/026,920 and US Pat. 5,970,865, which is also cited.

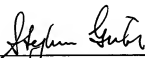
**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s) \_\_\_\_\_, filed \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679.

Respectfully submitted,

Date: March 28, 2006

  
 \_\_\_\_\_  
 Stephen P. Gribok  
 Registration No. 29,643  
 DUANE MORRIS LLP  
 30 South 17<sup>th</sup> Street  
 Philadelphia, PA 19103-4196  
 Telephone: 215-979-1283  
 Facsimile: 215-979-1020

Atty Ref. D4695-126  
 Customer No.: 08933



PTO/SB/08A (07/05)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/517,571
Filing Date	December 9, 2004
First Named Inventor	VETTER
Art Unit	not assigned
Examiner Name	not assigned
Attorney Docket Number	D4695-00126

Sheet of

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		3,600,655	08-17-1971	Karlin et al.	
		5,193,456	03-16-1993	Wolfe et al.	
		5,970,865	10-26-1999	Horth et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear	T
		Country Code-Number-Kind Code (if known)				
		CH-501,335-A	12-31-1970	Beloit Corp.		
		DE-3729911-A	03-23-1989	Braun GMBH		
		JP-08-207265-A	08-13-1996	Canon Elec. Inc.		
		DE-19617355-A	11-13-1997	Stork MBK GMBH		
		DE-19807924-A	08-27-1998	Mitsubishi Materials Corp.		
		WO 01/12440-A	02-22-2001	Automation Equip. Inc.		

### OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

International Search Report for WO 2003/106177 A3, March 23, 2004, 5 pages.

Examiner Signature	/Ren Yan/	Date Considered	12/04/2008
-----------------------	-----------	--------------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.com](http://www.uspto.com) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RY/